



COMMONWEALTH OF VIRGINIA
STATE CORPORATION COMMISSION

Office of the Clerk

June 12, 2019

1906060114

INCORP SERVICES, INC
7288 HANOVER GREEN DR
MECHANICSVILLE, VA 23111

RECEIPT

RE: Prime Capital Investment Advisors, LLC

ID: T080799 - 2

DCN: 19-05-31-0047

This receipt acknowledges payment of \$100.00 to cover the fee for filing an application for a certificate of registration to transact business in Virginia with this office.

The effective date of the registration is June 12, 2019.

If you have any questions, please call (804) 371-9733 or toll-free in Virginia, (866) 722-2551.

Sincerely,

Joel H. Peck
Clerk of the Commission

RECEIPTLC
LLNCF
CIS0317

ENTITY NAME: Prime Capital Investment Advisors
LLC

Name availability done in:

Initials: _____ Conflict with ID #: _____

eFile: [Signature] _____

ENTITY ID #: _____

CIS: [Signature] _____

DCN #: 190531 0047

@ 06/03/19 \$100⁰⁰

CHARTER EXAMINER WORKSHEET

CHARTER / ENTRANCE FEE _____

JURISDICTION: KS

FILING FEE 100

SPECIAL EFFECTIVE DATE / TIME

EXPEDITE FEE(S) _____

TOTAL FEES 100

INDUSTRY CODE: _____

AMENDMENT OR OTHER INFORMATION:

SEND COPY TO: _____

OK 1906/11/19

KS LLC

SEC-CLERK'S OFFICE
REGISTRATION
2019 MAY 31 AM 10:30

COPYWORK REQUESTED:

CORRESPONDENT:

AMOUNT AVAILABLE FOR COPYWORK:

____ MAIL
____ CALL
____ FAX
____ FED EX

10807992

COMMONWEALTH OF VIRGINIA
STATE CORPORATION COMMISSION

AT RICHMOND, JUNE 12, 2019

The State Corporation Commission has found the accompanying application for a certificate of registration to transact business in Virginia submitted on behalf of

Prime Capital Investment Advisors, LLC

to comply with the requirements of law, and confirms payment of all required fees. Therefore, it is ORDERED that this

CERTIFICATE OF REGISTRATION TO TRANSACT BUSINESS IN
VIRGINIA

be issued and admitted to record with the application in the Office of the Clerk of the Commission, effective June 12, 2019.

STATE CORPORATION COMMISSION

By



Judith Williams Jagdmann
Commissioner

FLLCACPT
CIS0317
19-05-31-0047

1906060114



COMMONWEALTH OF VIRGINIA
STATE CORPORATION COMMISSION

Provide a
Certified
Copy of the
Formation
Document,
as Amended

1906060114

LLC-1052
(06/16)

APPLICATION FOR A CERTIFICATE OF REGISTRATION TO TRANSACT
BUSINESS IN VIRGINIA AS A FOREIGN LIMITED LIABILITY COMPANY

REVIEW THE INSTRUCTIONS BEFORE SUBMITTING THIS FORM.

LLC's name: Prime Capital Investment Advisors, LLC N/D

Designated name (if required): _____

State or other jurisdiction of organization: Kansas

Date of formation: 05/01/2017 Period of duration: Perpetual

(Mark if applicable:) The LLC was previously authorized or registered to transact business in Virginia as a foreign business entity. (See Instructions.) **Set forth additional information on an attachment.**

The post office address, including the street and number, of the LLC's principal office is
6201 College Blvd Suite 700 Overland Park KS 66211
(number/street) (city or town) (state) (zip)

The LLC's registered agent in VIRGINIA is InCorp Services, Inc. 0591947-5

The registered agent is (mark appropriate box):

(1) an INDIVIDUAL who is a resident of Virginia and

- a member or manager of the LLC.
- a member or manager of a limited liability company that is a member or manager of the LLC.
- an officer or director of a corporation that is a member or manager of the LLC.
- a general partner of a general or limited partnership that is a member or manager of the LLC.
- a trustee of a trust that is a member or manager of the LLC.
- a member of the Virginia State Bar.

OR

(2) a domestic or foreign stock or nonstock corporation, limited liability company or registered limited liability partnership authorized to transact business in Virginia.

The LLC's VIRGINIA registered office address, including the street and number, if any, which is identical to the business office of the registered agent, is

7288 Hanover Green Drive Mechanicsville, VA 23111
(number/street) (city or town) (zip)

which is physically located in the county or city of Hanover

The Clerk of the Commission is hereby irrevocably appointed as the agent of the limited liability company for service of process if (i) the company fails to maintain a registered agent in Virginia as required by § 13.1-1015 of the Code of Virginia, (ii) the registered agent's authority has been revoked, (iii) the registered agent has resigned, or (iv) the registered agent cannot be found or served with the exercise of reasonable diligence.

The LLC affirms that it is a "foreign limited liability company" as defined in § 13.1-1002 of the Code of Virginia.

Signed in the name of the foreign limited liability company by:

Stephanie A Rogers 4/30/2019 913-491-6226
(signature) (date) (telephone number (optional))

Stephanie Rogers

Director of Finance

(printed name)

(title) (see instructions for examples of acceptable titles)

The person signing above has been delegated the right and power to manage the LLC's business and affairs.

Personal Information, such as a social security number, should NOT be included in a business entity document submitted to the Office of the Clerk for filing with the Commission. For more information, see Notice Regarding Personal Identifiable Information at www.scc.virginia.gov/clk.

Limited Liability Company Articles of Organization

The name of the Limited Liability Company:

Prime Capital Investment Advisors, LLC N/D

File date: 05/01/2017
File time: 09:53:40
Business Entity ID Number: 8653800

Registered Office in Kansas:

- 4200 Somerset Drive
- Suite 208
- Prairie Village, Kansas
- 66208

Name of the resident agent at the registered office:

RAK Services, INC.

Mailing address for official mail:

- Prime Capital Investment Advisors, LLC
- 4200 Somerset Drive
- Suite 208
- Prairie Village, KS
- 66208 USA

Name of the organizer(s):

Donald Friend II

I/We declare under penalty of perjury under the laws of the state of Kansas that the foregoing is true and correct.

Execution date: 05/01/2017

The signature(s) of the organizer(s):

Donald Friend II
Donald Friend II



I, Kris W. Kobach, Secretary of State of Kansas, do hereby certify that this is the true and correct copy of the original document filed electronically on 05/01/2017.

Kris W. Kobach

Kansas Secretary of State
Memorial Hall, 1st floor - 120 SW 10th Ave. - Topeka, Kansas 66612-1594
phone: (785) 296-4564 - email: kssos@sos.ks.gov - url: <http://www.sos.ks.gov/>

Kansas Secretary of State

Resident Agent and/or Registered Office Amendment

- File Date: 2018-03-30
- File Time: 14:06:17

1. Business Entity Name: PRIME CAPITAL INVESTMENT ADVISORS, LLC
2. Business Entity Number: 8653800
3. Resident Agent: Tim Hakes
4. Registered Office: 6201 College Blvd Suite 700 Overland Park, KS 66211

"I declare under penalty of perjury pursuant to the laws of the state of Kansas that the foregoing is true and correct."

Executed on the 30 of March , 2018.

Stephanie Rogers
Authorized Person



I, Kris W. Kobach, Secretary of State of Kansas, do hereby certify that this is the true and correct copy of the original document filed electronically on 30 of March , 2018.

Kris W. Kobach

To validate the authenticity of this electronically certified document please visit, <https://www.kansas.gov/rora-amend/validate.do> and enter the following authentication code: 352198



i hereby certify this to be a true and correct copy of the original on file.
Certified on this date: May 28, 2019
SCOTT SCHWAB
Secretary of State *Scott Schwab*